



A DATA-DRIVEN FRAMEWORK FOR COMPLEX SYSTEM PROGNOSTICS DEVELOPMENT

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OUTLINE

- Introduction
 - Problem Statement
- Framework
 - Opportunity Identification
 - Dataset Preparation
 - Model Development
 - Performance Evaluation
- Numerical Example
- Conclusion and Future Work



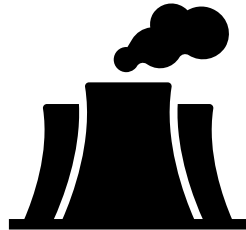
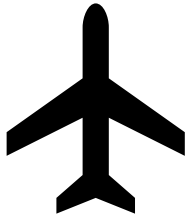
INTRODUCTION



PROBLEM STATEMENT

MISSION: DEVELOPING SYSTEM LEVEL ANALYTICS

- Single component are easier to model when they are treated as an isolated system.
- Component level prognostics do not capture the intricate correlations and interdependencies of the component within the complex system.
- Digital Twins have gained interested and over-time they may be able to model these systems at a detailed level.

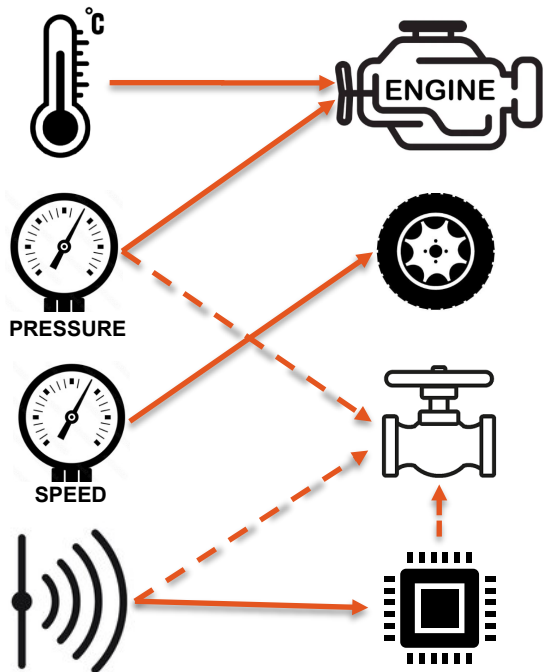


DATA DRIVEN PROGNOSTICS

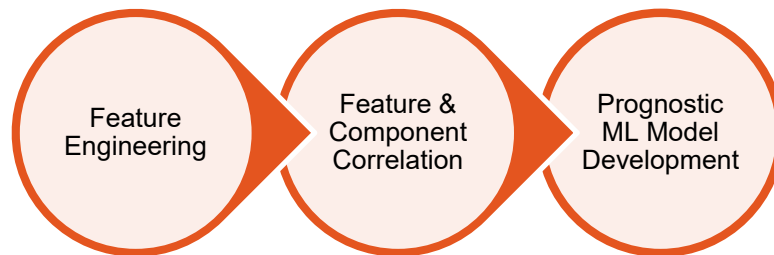
SYSTEM IS A COLLECTION OF COMPONENTS AND SENSORS

SENSORS

COMPONENTS



- How to identify correlations between sensors and components?
 - a) Physics/system architecture-based models (obvious)
 - b) Data-driven analysis (not so obvious)
- Propose Framework:
 - Data driven approach to identify relationship between sensors and component removals.





KEY ISSUES

SUMMARY

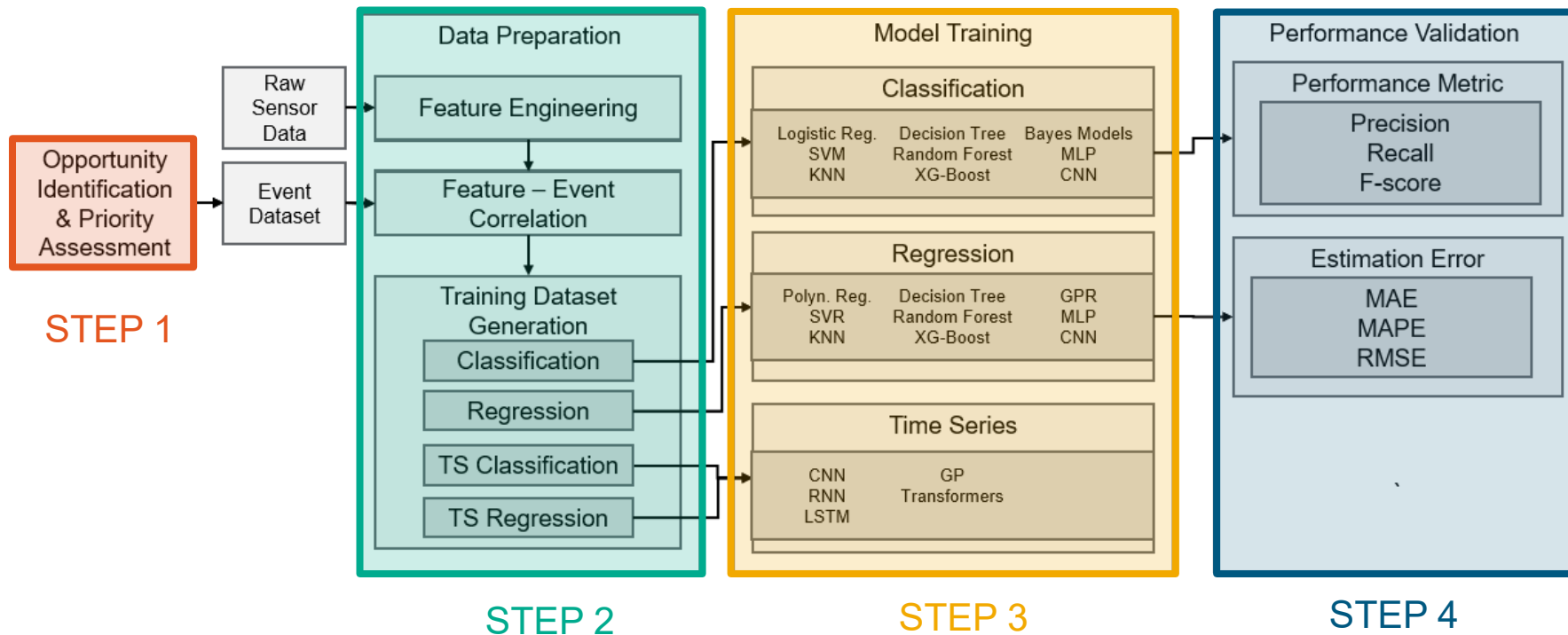
- The proposed framework is intended to address three key issues:
 1. Ensure system coverage
 2. Identify relevant features for a given component/event
 3. Reduce prognostic development time



FRAMEWORK

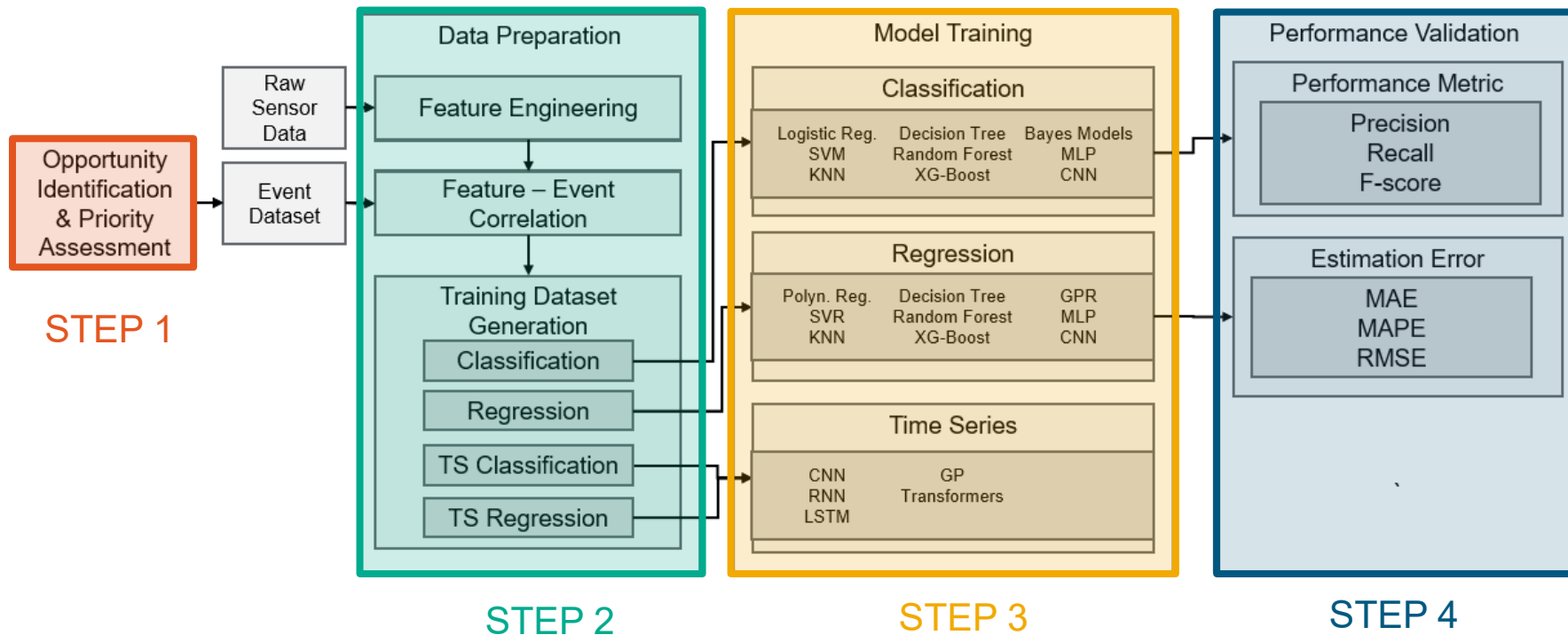
FRAMEWORK OVERVIEW

ANALYTIC DEVELOPER WORKFLOW PROCESS



FRAMEWORK OVERVIEW

ANALYTIC DEVELOPER WORKFLOW PROCESS





STEP 1: OPPORTUNITY IDENTIFICATION

Opportunity
Identification
& Priority
Assessment

BUSINESS ASPECT OF PROGNOSTIC DEVELOPMENT

STEP 1

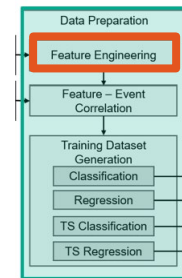
- How should we prioritize analytics in an incremental development process?
- Priority Criteria Guidelines:
 - Customer Request
 - Cost-Benefit Analysis
 - Safety Critical Components
 - High Correlation (Quick Wins)

STEP 2: DATASET PREPARATION

FEATURE CORRELATION

- **Feature Engineering**

- Apply statistical transformations to raw flight signals to generate features.
- Transformations reduce raw signals to single values per flight.
- Features are tracked over time and saved in feature-specific datasets.



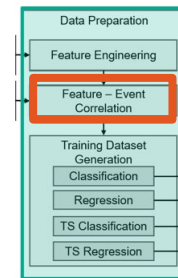
STEP 2

STEP 2: DATASET PREPARATION

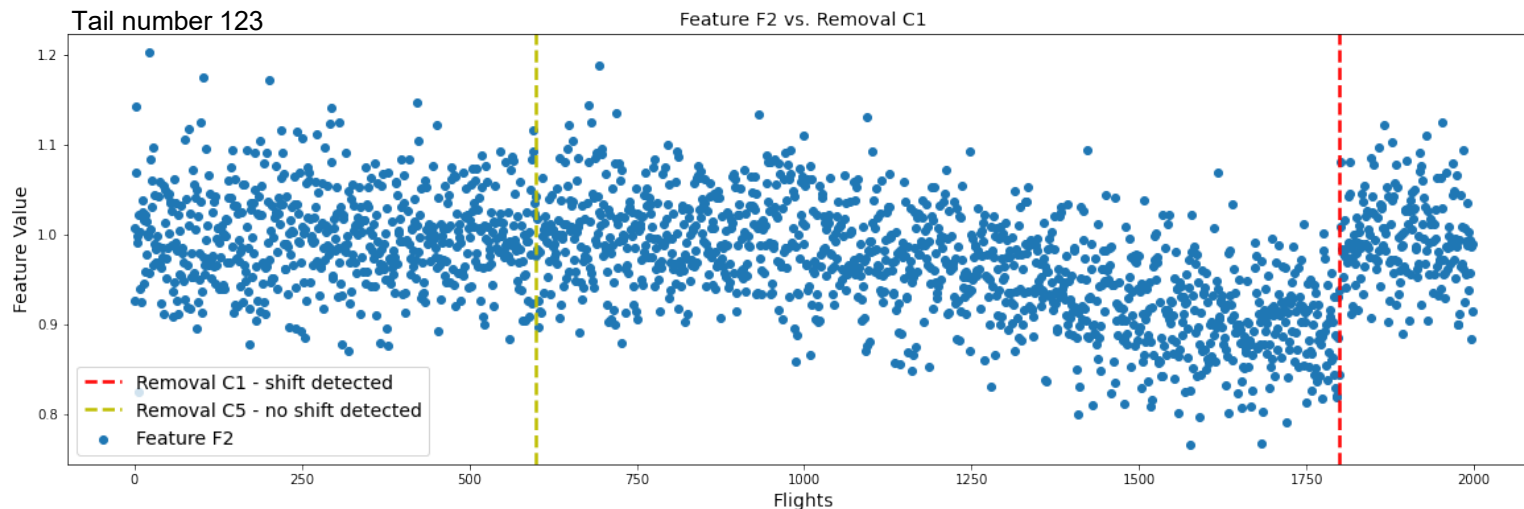
CORRELATION

- **Feature-Component Correlations**

- Perform correlations between unique features and component.
- Statistically evaluate differences before and after events to identify correlated events.
- Correlated events are saved for future reference and investigation.

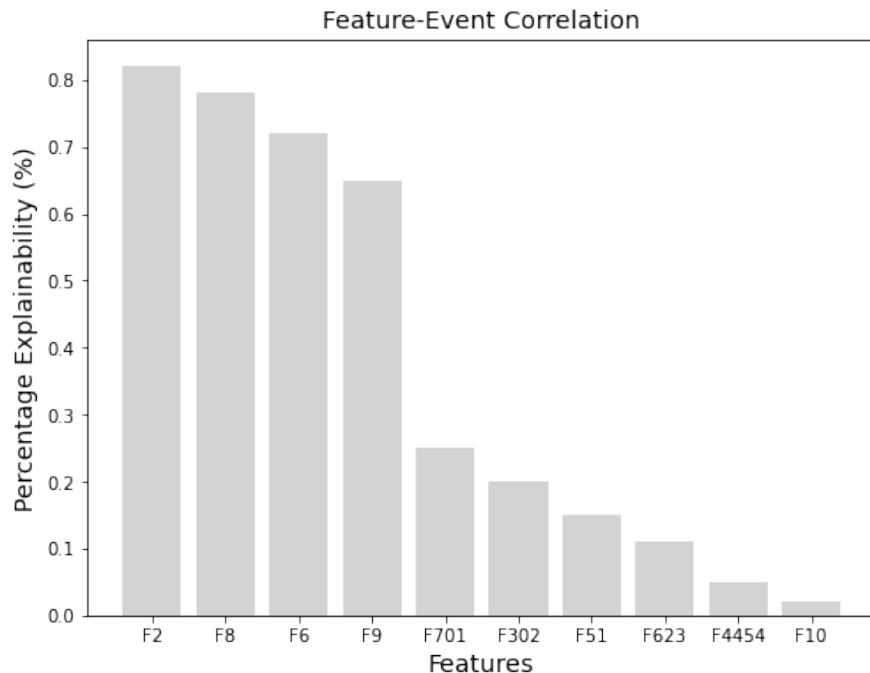
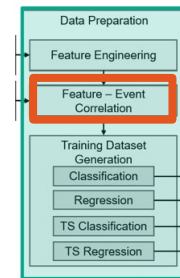


STEP 2



STEP 2: DATASET PREPARATION

FEATURE SELECTION & EXPLAIN-ABILITY

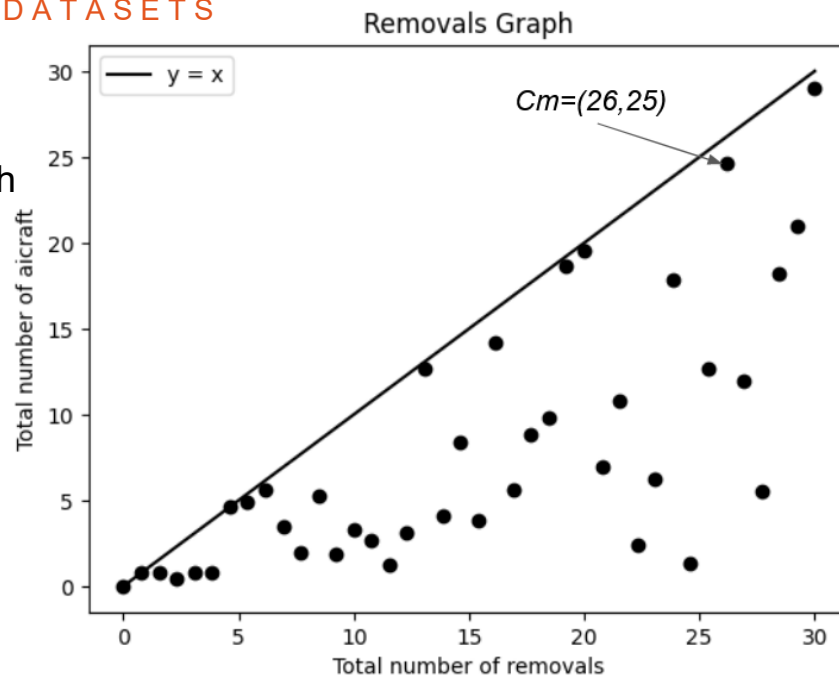


- Percentage of explain-ability - how many removals of a given component type does a feature explain?
- Example:
 - Component C1 removed 10 times
 - Feature F2 displayed a shift in performance 8 times
 - Explain-ability of C1-F2 is 80%

DATA CHALLENGES

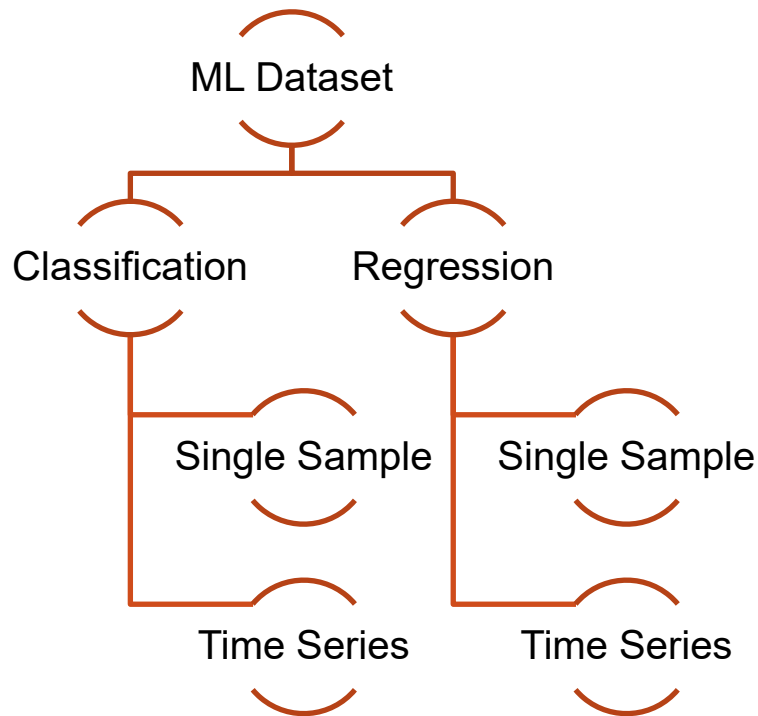
EXPLORING CHALLENGES WITH AVAILABLE DATASETS

- Requirements:
 1. The number of component removals is large enough to develop a training dataset
 2. The same component is removed on multiple aircraft to avoid aircraft bias.

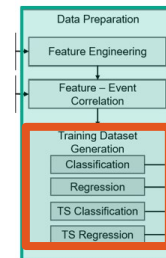


ML TRAINING DATASET PREPARATION

CUSTOMIZED ML LIBRARY



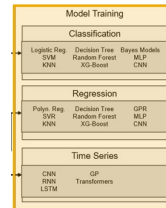
- Classification
 - Healthy vs. Not Healthy
 - Challenge: labeling
- Regression
 - Remaining useful life (RUL) estimate
 - Challenge: harder to estimate
- Single Sample
 - Independent prediction for every new sample collected
- Time Series
 - Prediction based on some specified window of data.



STEP 2

STEP 3: MODEL TRAINING

CUSTOM BUILT AUTO-ML LIBRARIES



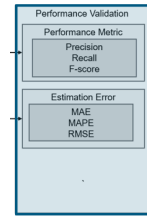
STEP 3

Classification	Regression	Time-Series Classification/Regression
Logistic Regression Decision Trees Random Forest XGBoost Support Vector Machine (SVM) k- Nearest Neighbor (KNN) Bayes Models Multi-Layer Perceptron (MLP) Convolutional Neural Network (CNN)	Polynomial Regression Decision Trees Random Forest XGBoost Support Vector Regression (SVR) KNN Gaussian Process Regression (GPR) MLP CNN	CNN Gate Recurent Unit (GRU) Recurrent Neural Network (RNN) Long-Term Short-Term Memory (LSTM) Transformers GP for time-series



STEP 4: PERFORMANCE ANALYSIS

HOW DO WE TEST AND VALIDATE THE MODELS?



STEP 4

CLASSIFICATION MODELS

$$\begin{aligned} \text{Precision} &= P(\text{ImpendingFailureEvent} | \text{PrognosticAlert}) \\ &= \frac{\text{TruePositive}}{\text{TruePositive} + \text{FalsePositive}} \end{aligned}$$

$$\begin{aligned} \text{Recall} &= P(\text{PrognosticAlert} | \text{ImpendingFailureEvent}) \\ &= \frac{\text{TruePositive}}{\text{TruePositive} + \text{FalseNegative}} \end{aligned}$$

		True		
		Event	No Event	
Predicted	Alert	True Positive (FP)	False Positive (TP)	$P = \frac{TP}{TP + FP}$
	No Alert	True Negative (TN)	False Negative (FN)	
			$R = \frac{TP}{TP + FN}$	

REGRESSION MODELS

$$MAE = \frac{1}{n} \sum_{i=1}^n |x_i - \hat{x}_i|$$

$$MAPE = \frac{1}{n} \sum_{i=1}^n \left| \frac{x_i - \hat{x}_i}{x_i} \right| * 100\%$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (x_i - \hat{x}_i)^2}{n}}$$



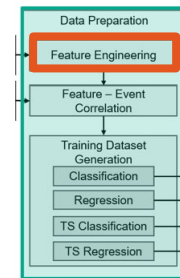
SIMULATION EXAMPLES

DATASET

EXAMPLE FROM OUR DATASET

- Dataset cover all sensors and all components on an aircraft fleet.
- Define component as C_i^m
 - i - the component type.
 - m - the number of removals of component C_m
- Define Feature as F_n

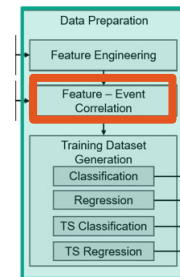
Features	20,000
Component Types	2900
Total Removals (All Component Types)	500,000



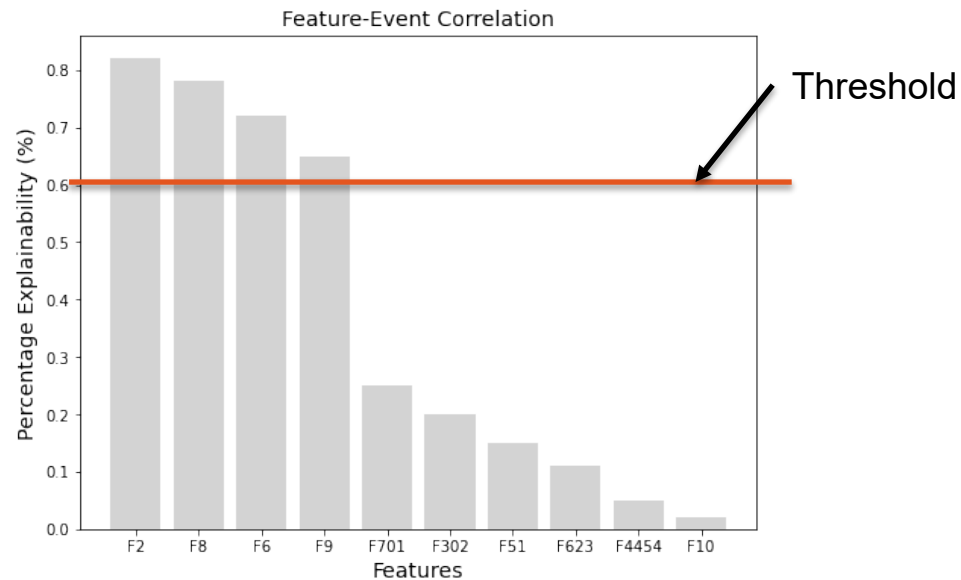
STEP 2

FEATURE SELECTION

1. Assume: Using the “opportunity identification” method, we selected to develop prognostic for component C1
2. Perform Feature-C1 Correlation
3. Pick the most correlated features
 - F2
 - F8
 - F6
 - F9

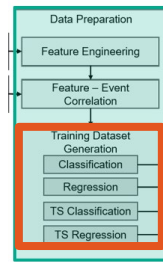


STEP 2



ML TRAINING DATASET PREPARATION

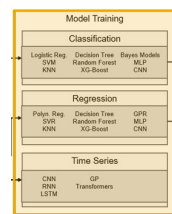
- Single sample RUL regression model.
- Assumption: over the last 50 flight prior to removal. The component transitions from healthy to degraded and finally failed.
- Dataset contain 50 aircraft
- Train/Test Split
 - 45 aircraft are selected for training and 5 for testing.
 - Each tail has one or more component C1 failures.



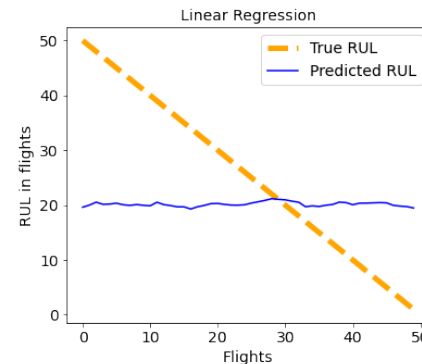
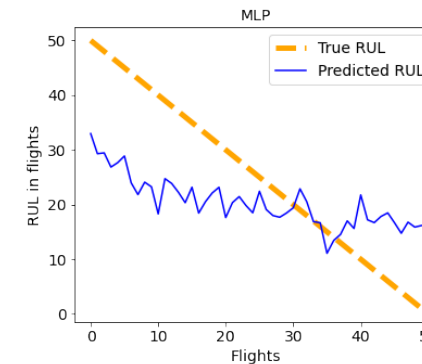
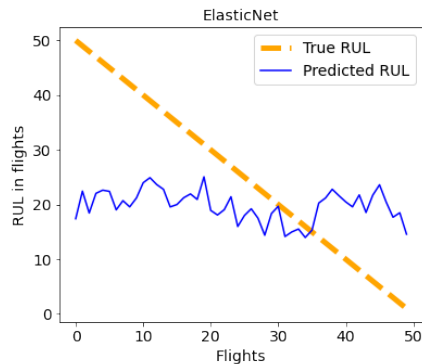
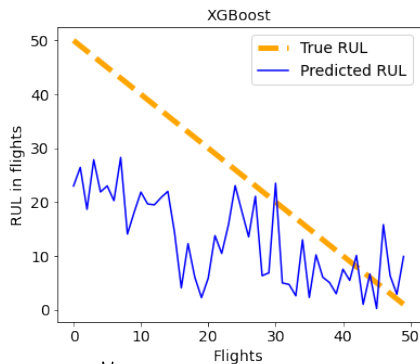
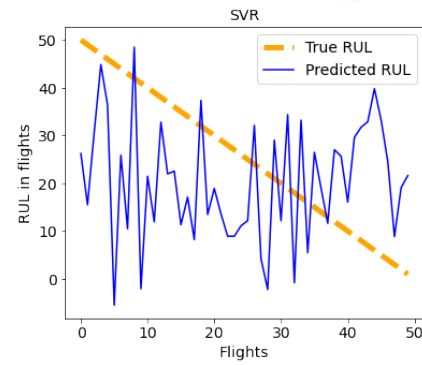
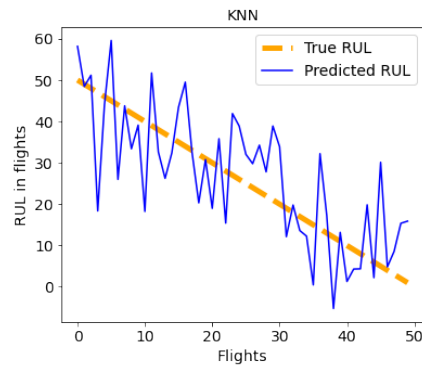
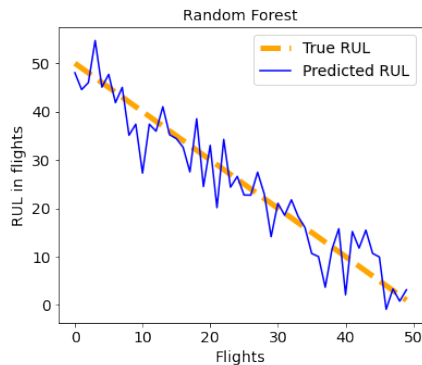
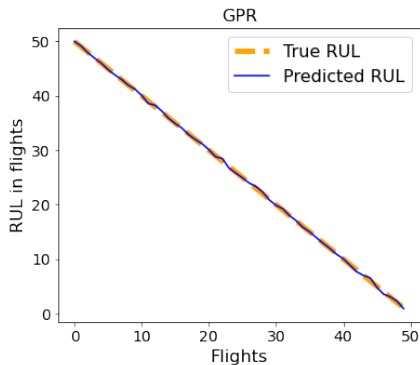
STEP 2

PREDICTION EXAMPLE: TEST SAMPLE 1

PREDICTION ILLUSTRATIONS FOR ONE REMOVAL IN TEST DATASET



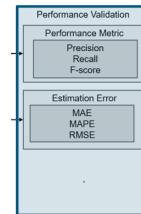
STEP 3





PERFORMANCE: NUMERICAL RESULTS

FOR THE ENTIRE TEST DATASET



STEP 4

Models	MAE	RMSE	MAPE
Gaussian Process Regression (GPR)	0.1	0.2	0.5%
Random Forest	4.6	5.61	55.3%
K-Nearest Neighbor (KNN)	10.1	12.2	119.4%
Support Vector Regression (SVR)	11.8	14.1	150.1%
XGBoost	12.1	14.1	154.8%
ElasticNet	12.5	14.5	171.0%
MLP	12.5	14.4	171.8%
Linear Regression	12.5	14.5	170.9%



CONCLUSION & FUTURE WORK



CONCLUSION

SUMMARY

- Discussed challenges of complex systems
- Introduced a data-driven framework for developing prognostic models in complex systems
 - Opportunity identification
 - Feature engineering & feature correlation
 - Auto-ML modeling tool
 - Performance Evaluation
- Demonstrated performance of the framework on a numerical example



FUTURE WORK

WHAT'S NEXT

- Expanding the Auto-ML library
 - Adding unsupervised models for unlabeled dataset
- Improving on feature engineering
 - Currently features are combined at the ML level
 - Future Enablement: Combine features at the features engineering level
- Introduce better performance metrics



CONTRIBUTORS

BIOGRAPHY



Katarina Vuckovic received her B.S. in Aerospace Engineering (2017), B.S. in Electrical Engineering (2017), and M.S. in Electrical Engineering (2019) from Florida Institute of Technology. She is currently pursuing her Ph.D. studies in Electrical Engineering at the University of Central Florida. She has been with Collins Aerospace for six years working as a systems engineer on wireless communication systems, aircraft automation applications, and prognostics and health management of aircraft components.



Shashvat Prakash received a B.S. in Mechanical Engineering from University of Illinois, Urbana, an M.S. in Mechanical Engineering from Carnegie Mellon University, and a Ph.D. in Mechanical Engineering from the Georgia Institute of Technology. He has worked on satellite attitude and orbit control at NASA Goddard, combustion and control of aviation turbines at General Electric, and prognostics and health management (PHM) of aircraft components at Raytheon Technologies-Collins Aerospace. Currently a Principal Engineer, he has over 13 years of experience in the commercial aviation industry.



Ben Burke received a B.S. in Communications from Brigham Young University - Idaho and a M.S. in Data Science and Business Analytics from the University of North Carolina at Charlotte. He has worked in the cloud, data and data science space as a consultant for almost a decade, helping clients create unique, native, and distinct solutions harnessing cloud architecture, data engineering and data science skills. Currently he is a Sr. Data Scientist with Insight, who provides consulting services for Collins Aerospace.
